IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: 7,664,695
Issued: February 16, 2010
Name of Patentee: Stephen Cutler
Title of Invention: SECURITIES MARKET AND MARKET MAKER ACTIVITY TRACKING SYSTEM AND METHOD

Commissioner for Patents
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ATTENTION: **Decision and Certificate of Correction Branch of the Patent Issue Division**

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE (37 C.F.R. § 1.322(a))

1. Attached is Form PTO-1050 suitable for printing.

2. The exact page and line number where the errors are shown correctly in the application file are:

a.) Column 1, line 47, "(i.e., last trade)" should read -- (i.e., last trade value) --
See Applicant's response dated February 23, 2007

b.) Column 3, line 36, "According to another aspect of the invention" should read -- According to yet another aspect of the invention --
See Application as filed, page 4, line 28

c.) Column 3, lines 53-61,

"for each selected security and over a specified time period, determining a bid persistence statistic and an ask persistence statistic for market maker, the bid persistence statistic determined by calculating the approximate portion of the specified time period that the market maker has had one or more bids being equal to or higher than a level 1 bid for security, and ask persistence that the market maker has had one or more asks being equal to or lower than a level 1 ask for the security"

should read

-- determining the combined bid volume and ask volume for each market maker for each selected security from the selected set of securities.

According to still another aspect of the invention, the invention is a method of tracking activity of a plurality of market makers relating to securities traded on at least one common exchange where the market makers place bids and asks. The method including the steps of receiving a dynamically updated data stream containing level 1 and level 2 data relating to a plurality of securities traded over the at least one exchange, the level 1 data including at least the last trade price of each security and the level 2 data containing a bid price, a bid time, a bid volume, a security identifier, and a market maker identifier for each bid, and an ask price, an ask time, an ask volume, a security identifier and a market maker identifier for each ask; and analyzing the data stream to derive a statistic indicative of temporary upward or downward price

pressure, the statistic derived for each market maker and updated based on the updated data stream, wherein deriving the statistic includes for each market maker, summing the bid volume of each active bid of each market maker for a selected set of securities and summing the ask volume of each active ask of each market maker for a selected set of securities. --

See application as filed, page 5, lines 8-25

d.) Column 32, line 48, "The method according to claim 26" should read -- The method according to claim 36 --
See Applicant's response dated April 3, 2009, claims 265 and 266 as allowed

e.) Column 50, line 10, "an electron receiver" should read -- an electronic receiver --
See Applicant's response dated April 3, 2009, claim 412 as allowed

3. Please send the Certificate to:

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